

# Double-Categorical Models of Directed Universes

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(joint work with Alex Kavvos and Dan Licata)

TallCat Seminar

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# Identity Types

In type theory, *identity types* classify paths between elements of a type.

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Identity types are inductively defined by a single generator, representing a trivial path:

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Thus elements of an identity type form undirected (bidirected) paths.

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Paths between types have *computational content* because we can *transport* structure along a them:

$$\frac{\Gamma \vdash p : A =_{\mathcal{U}} B \quad \Gamma \vdash x : A}{\Gamma \vdash \text{tr}(p)(x) : B}$$

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These can be thought of as functions from a formal interval to a universe.

A path type in a universe relates the types at its two distinct endpoints.

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where *pathy* is a mere predicate on functions.

As in HoTT, each path has an underlying function.

We *transport* along a path by applying that function.

Slogan: “all paths are transportable”.

# Set Up

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We do this using double categories with connection structure.

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A *double category*  $\mathbb{D}$  is a (weak) internal category in  $\mathbf{CAT}$ .

It has object and morphism categories

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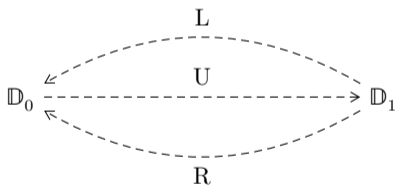
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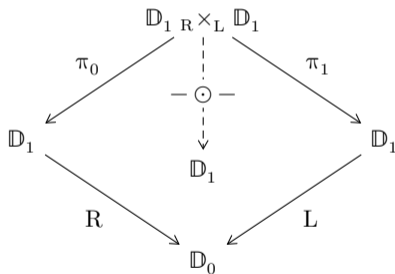
It has boundary and degeneracy functors



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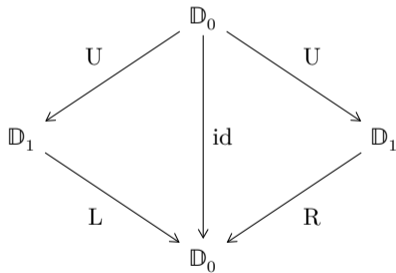
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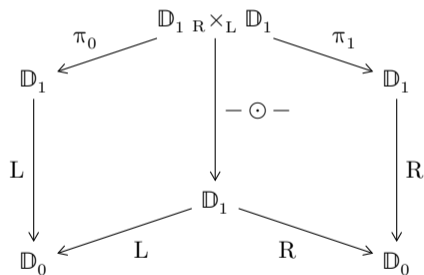
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and come equipped with unitor and associator natural isomorphisms

$$U(LM) \odot M \cong M \cong M \odot U(RM) \quad (M \odot N) \odot P \cong M \odot (N \odot P)$$

satisfying the triangle and pentagon equations

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1-dimensional *arrow* (vertical) morphisms:

$$\begin{array}{c} A \\ \downarrow f \\ B \end{array}$$



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1-dimensional *proarrow* (horizontal) morphisms:

$$A \xrightarrow{+M} C$$

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It has:

2-dimensional squares:

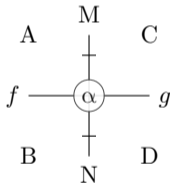
$$\begin{array}{ccc} A & \xrightarrow{M} & C \\ f \downarrow & \alpha & \downarrow g \\ B & \xrightarrow{N} & D \end{array}$$

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It has:

2-dimensional squares – which we can draw using dual diagrams [Mye07]:

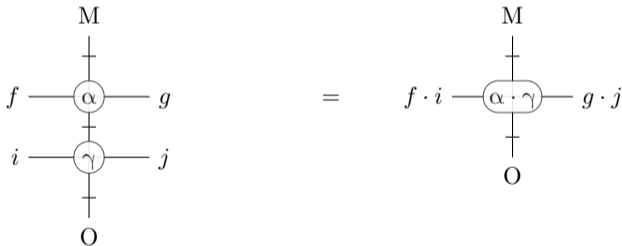


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(weak) composition in the proarrow dimension:

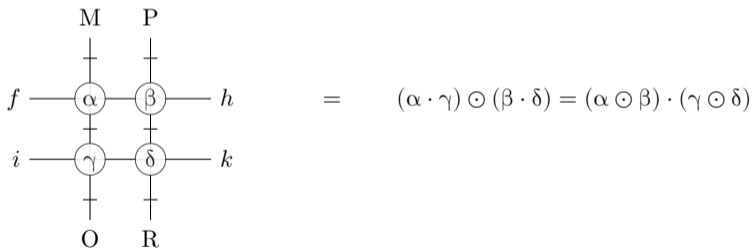
$$\begin{array}{c} M \quad P \\ | \quad | \\ f \text{---} \alpha \text{---} \beta \text{---} h \\ | \quad | \\ N \quad Q \end{array} = \begin{array}{c} M \odot P \\ | \\ f \text{---} \alpha \odot \beta \text{---} h \\ | \\ N \odot Q \end{array}$$

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generalized associativity [DP93]:

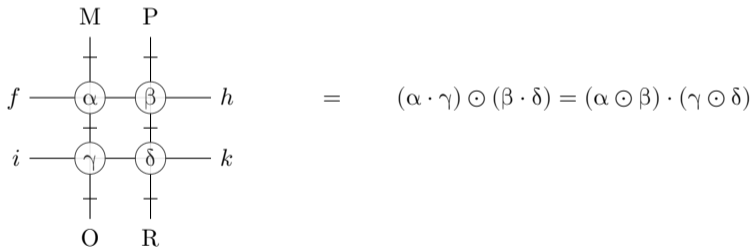

$$\begin{array}{c} M \quad P \\ | \quad | \\ f \text{ --- } \alpha \text{ --- } \beta \text{ --- } h \\ | \quad | \\ i \text{ --- } \gamma \text{ --- } \delta \text{ --- } k \\ | \quad | \\ O \quad R \end{array} = (\alpha \cdot \gamma) \odot (\beta \cdot \delta) = (\alpha \odot \beta) \cdot (\gamma \odot \delta)$$

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A coherence theorem allows us to ignore and recover coherators [GP99].

# Globular Squares

A square with trivial boundary in some dimension is a *globe*.

$$\begin{array}{ccc} A & \xrightarrow{U} & A \\ f \downarrow & \alpha & \downarrow g \\ B & \xrightarrow{U} & B \end{array} \quad \text{or} \quad \begin{array}{ccc} & A & \\ f & \textcircled{\alpha} & g \\ & B & \end{array} \quad \text{or} \quad \alpha : f \twoheadrightarrow g$$



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An *arrow adjunction* in a double category is formed by arrows  $f : A \rightarrow B$  and  $g : B \rightarrow A$  and arrow globes  $\eta : \text{id}(A) \rightrightarrows f \cdot g$  and  $\varepsilon : g \cdot f \rightrightarrows \text{id}(B)$  such that:

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 \textcircled{\eta} \text{---} f \\
 \text{---} g \text{---} \textcircled{\varepsilon} \\
 f \text{---} \textcircled{\varepsilon}
 \end{array}
 \cong
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 \quad \text{and} \quad
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(The “ $-\cong-$ ” accounts for boundary coherators.)

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For proarrow  $M : A \rightrightarrows B$  and object  $C$  there is a natural bijection between squares from  $M$  to the identity proarrow on  $C$  and arrows from the cotabulator of  $M$  to  $C$ :

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The adjunction unit component at  $M$  is a morphism  $\eta M : \mathbb{D}_1 (M \rightarrow U(\perp M))$  with the universal property:

$$\begin{array}{ccc}
 & M & \\
 & \downarrow & \\
 A & \perp & B \\
 & \downarrow & \\
 f & \textcircled{\alpha} & g \\
 & \downarrow & \\
 & C & \\
 \\
 & M & \\
 & \downarrow & \\
 A & \perp & B \\
 & \downarrow & \\
 M_0 & \textcircled{\eta M} & M_1 \\
 & \downarrow & \\
 & \perp M & \\
 & \text{---} & \\
 & d & \text{---} & d \\
 & \downarrow & \\
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 \end{array}
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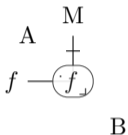
## Connection Structure

In a double category, parallel arrow  $f : A \rightarrow B$  and proarrow  $M : A \rightrightarrows B$  are *companions* [GP04] if there are *connection squares*

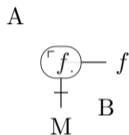
$$\begin{array}{ccc} A & \xrightarrow{M} & B \\ f \downarrow & \lrcorner f \lrcorner & \downarrow \text{id} \\ B & \xrightarrow{U} & B \end{array} \quad \text{and} \quad \begin{array}{ccc} A & \xrightarrow{U} & A \\ \text{id} \downarrow & \lrcorner f \lrcorner & \downarrow f \\ A & \xrightarrow{M} & B \end{array}$$

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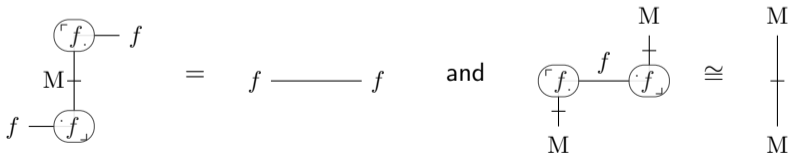


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satisfying the *companion laws*:



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Companionship also respects globe composition structure (contravariantly).

There are  $(0, 2)$ -full sub-double categories of companionable arrow- and proarrow globes, which are equivalent as bicategories.

# Unique Factorization by Connection Squares

For any square  $\alpha : \begin{matrix} M \\ f \end{matrix} \diamond \begin{matrix} g \\ N \end{matrix}$ ,

- ▶ if  $f$  is companionable then there is a unique square  $\lambda : \begin{matrix} M \\ \text{id} \end{matrix} \diamond \begin{matrix} g \\ \hat{f} \odot N \end{matrix}$  with  $\lambda \cdot (\cdot f \lrcorner \odot U(N)) \cong \alpha$ ,
- ▶ if  $g$  is companionable then there is a unique square  $\rho : \begin{matrix} M \odot \hat{g} \\ f \end{matrix} \diamond \begin{matrix} \text{id} \\ N \end{matrix}$  with  $(U(M) \odot \lrcorner g \cdot) \cdot \rho \cong \alpha$ .

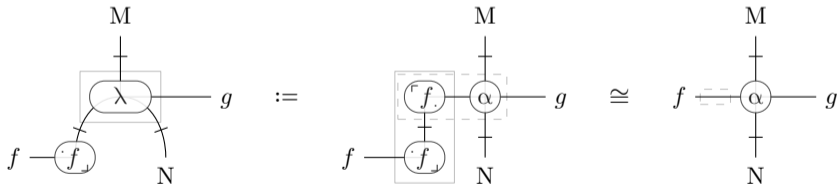
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Gist:  
(existence)



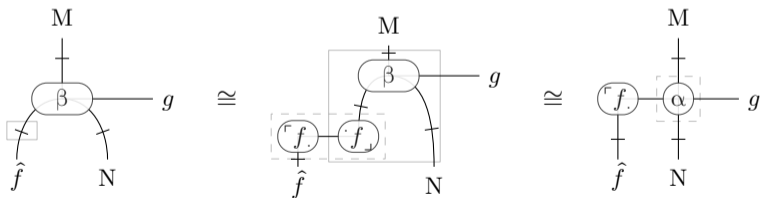
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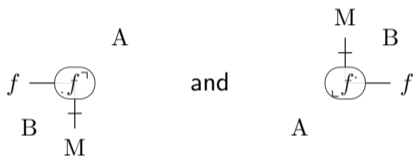
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(uniqueness)





# Conjoints

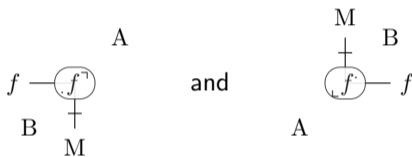
In a double category, antiparallel arrow  $f : A \rightarrow B$  and proarrow  $M : B \rightrightarrows A$  are *conjoints* if there are *coconnection squares*



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satisfying the *conjoint laws*, which are dual (under horizontal reflection) to the companion laws.

Dual to companions, conjoints extend to globes, respect composition, and are essentially unique ( $\check{f}$ ).

# Companions, Conjoints and Adjoints

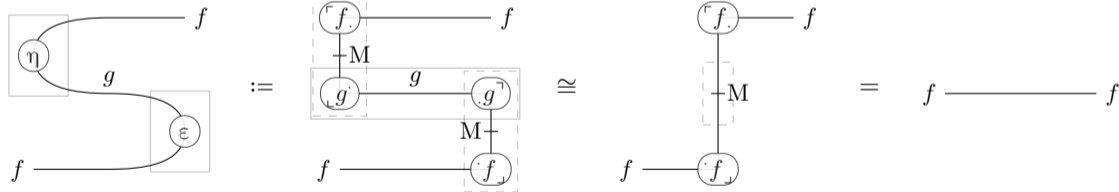
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# Companions, Conjoints and Adjoints

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If proarrow  $M : A \rightarrow B$  has companion  $f$  and conjoint  $g$  then  $f \dashv g$ .

Gist:

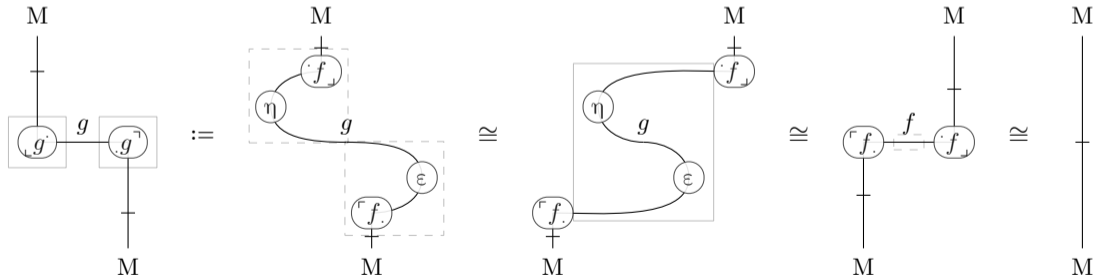


# Companions, Conjoints and Adjoints

Any two of these structures determine the third:

For arrow adjunction  $f \dashv g$ , a proarrow  $M$  is companion to  $f$  iff it is conjoint to  $g$ .

Gist:



# Profunctors

A *profunctor*  $M : \mathbb{A} \nrightarrow \mathbb{B}$  is a functor  $M : \mathbb{A}^{\circ} \times \mathbb{B} \rightarrow \mathbf{SET}$ .

Profunctors act as generalized relations.

$M(A \nrightarrow B) := M(A, B)$  is the set of *M-heteromorphisms* between  $A$  and  $B$ .

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To *compose*  $M : \mathbb{A} \leftrightarrow \mathbb{B}$  and  $N : \mathbb{B} \leftrightarrow \mathbb{C}$  we quotient by connected components of  $\mathbb{B}$  using a coend:

$$M \odot N : \mathbb{A} \leftrightarrow \mathbb{C} \quad := \quad \int^{\mathbb{B}:\mathbb{B}} M(\frac{1}{\rightarrow} B) \times N(B \rightarrow \frac{2}{\rightarrow}) : \mathbb{A}^\circ \times \mathbb{C} \rightarrow \mathbf{SET}$$

Composition is associative up to canonical isomorphism by the “Fubini theorem” for coends [Kel82].

# Represented Profunctors

A profunctor  $M : \mathbb{A} \leftrightarrow \mathbb{B}$  is:

- ▶ covariantly represented by a functor  $I : \mathbb{A} \rightarrow \mathbb{B}$  if  $M = \hat{I} := \mathbb{B}(I \rightarrow \text{id})$ ,
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We can compose with represented profunctors using the co-Yoneda lemma:

for profunctor  $N : \mathbb{B} \leftrightarrow \mathbb{D}$  and functors  $F : \mathbb{A} \rightarrow \mathbb{B}$  and  $G : \mathbb{C} \rightarrow \mathbb{D}$ ,

$$\begin{aligned}\hat{F} \odot N &:= \int^{\mathbb{B}} \mathbb{B}(F^1 \rightarrow B) \times N(B \dashrightarrow 2) \cong N(F^1 \dashrightarrow 2) \\ N \odot \check{G} &:= \int^{\mathbb{D}} N(1 \dashrightarrow D) \times \mathbb{D}(D \rightarrow G^2) \cong N(1 \dashrightarrow G^2)\end{aligned}$$

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In particular, the hom functor  $\mathbb{A}(1 \rightarrow 2) : \mathbb{A}^\circ \times \mathbb{A} \rightarrow \text{SET}$  is an *identity profunctor*  $U : \mathbb{A} \leftrightarrow \mathbb{A}$ .

# Double Categories of Categories

To build a double category from categories, functors and profunctors we need a notion of square.

2-categories of categories have natural transformations as 2-dimensional cells, but these are of globular, not cubical, shape.

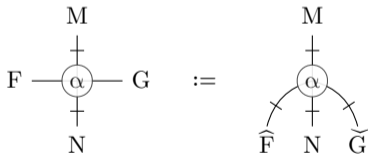
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For functors  $F : \mathbb{A} \rightarrow \mathbb{B}$  and  $G : \mathbb{C} \rightarrow \mathbb{D}$  and profunctors  $M : \mathbb{A} \nrightarrow \mathbb{C}$  and  $N : \mathbb{B} \nrightarrow \mathbb{D}$ , a *natural square*  $\alpha : \begin{matrix} M \\ F \diamond N \end{matrix}$  is a morphism of profunctors,  $\alpha : \mathbb{A} \nrightarrow \mathbb{C} (M \rightarrow \widehat{F} \odot N \odot \widetilde{G})$ ; i.e., a natural transformation  $\alpha : M \rightarrow N (F^1 \dashv \rightarrow G^2)$ .



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$$\begin{array}{c} M \\ \vdots \\ \alpha \\ \vdots \\ N \end{array} \begin{array}{c} F \text{ --- } \text{---} G \end{array} \quad := \quad \begin{array}{c} M \\ \vdots \\ \alpha \\ \swarrow \quad \downarrow \quad \searrow \\ \widehat{F} \quad N \quad \widetilde{G} \end{array}$$

There is a double category of (small) categories, functors, profunctors, and natural squares [GP99], where companion/conjoint proarrows are covariantly/contravariantly represented profunctors [GP04].

## Collage Categories

The cotabulator of a profunctor  $M : \mathbb{A} \rightarrow \mathbb{B}$  is its *collage* [GP99], a category  $\text{Col } M$  with:

**objects** the disjoint union of  $\mathbb{A}$ -objects and  $\mathbb{B}$ -objects,

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Identities and homomorphism compositions are inherited from  $\mathbb{A}$  and  $\mathbb{B}$ .

Mixed composition follows by  $M$  functoriality: for  $a : \mathbb{A} (A' \rightarrow A)$ ,  $x : M (A \rightarrow B)$ ,  $b : \mathbb{B} (B \rightarrow B')$ ,

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$$\begin{array}{ccc}
 A' & & \\
 a \downarrow & & \\
 A & \xrightarrow{x} & B \\
 & & \downarrow b \\
 & & B' \\
 \\ 
 0 & \xrightarrow{i} & 1 \\
 & & i
 \end{array}
 \quad : \text{Col } M
 \quad \begin{array}{c}
 \downarrow D \\
 \mathbb{I}
 \end{array}$$



## Collage Categories

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This follows from the universal property of the cotabulator:

$$\begin{array}{c} M \\ | \\ \mathbb{A} \text{ --- } \textcircled{i!} \text{ --- } \mathbb{B} \\ | \\ 0! \text{ --- } \textcircled{i!} \text{ --- } 1! \\ | \\ \mathbb{I} \end{array} = \begin{array}{c} M \\ | \\ \mathbb{A} \text{ --- } \textcircled{\eta M} \text{ --- } \mathbb{B} \\ | \\ M_0 \text{ --- } \textcircled{\eta M} \text{ --- } M_1 \\ \text{Col } M \\ \text{D} \text{ --- } \text{---} \text{---} \text{D} \\ | \\ \mathbb{I} \end{array}$$

# Interval Displays for Represented Profunctors

In the collage category of a covariantly represented profunctor,  $\text{Col } \widehat{F}$ , the morphisms  $\text{id}(FA) : \widehat{F}(A \dashrightarrow FA)$  are opcartesian for the display functor  $D : \text{Col } \widehat{F} \rightarrow \mathbb{I}$ .

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Thus the interval display functor is a Grothendieck opfibration.

This is equivalent to a pseudofunctor  $\mathbb{I} \rightarrow \text{CAT}$  sending  $i : \mathbb{I}(0 \rightarrow 1)$  to the representing functor  $F : \mathbb{A} \rightarrow \mathbb{B}$ .

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Every proarrow is companionable, so every path is transportable.

If  $\llbracket P \rrbracket = \widehat{F} : \llbracket A \rrbracket \nrightarrow \llbracket B \rrbracket$  then  $\llbracket \text{tr } P \rrbracket = F : \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$ .



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This gives us the following univalence-like principle for types  $A$  and  $B$  in a universe  $\mathcal{U}$ :

$$\begin{array}{c} \text{directed paths in } \mathcal{U} \text{ from } A \text{ to } B \\ \overbrace{(A \rightsquigarrow_{\mathcal{U}} B)} \\ \simeq \\ \underbrace{(f : A \rightarrow B \mid \text{comp } f)} \\ \text{companionable functions from } A \text{ to } B \end{array}$$

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This occurs precisely when the profunctor is contravariantly represented,

which happens when it has a covariantly represented left adjoint.

# Kan Structure

Connection structure on a double category interprets a form of Kan structure for the universe.

For arbitrary path and composable companionable functions:

$$\begin{array}{ccc} A & & D \\ f \downarrow & & \downarrow \text{id} \\ B & \xrightarrow{N} & D \end{array} \quad \text{and} \quad \begin{array}{ccc} A & \xrightarrow{M} & C \\ \text{id} \downarrow & & \downarrow g \\ A & & D \end{array}$$

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 \hat{f} \text{ N} & & \text{M} \\
 \vdots & & \vdots \\
 f \text{ --- } \hat{f} & \text{and} & \text{M} \text{ --- } \hat{g} \text{ --- } g \\
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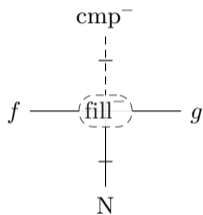
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 \vdots & & \vdots \\
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 & & \hat{g}
 \end{array}$$

These are universal, in the sense that any other fillers factor uniquely through them.

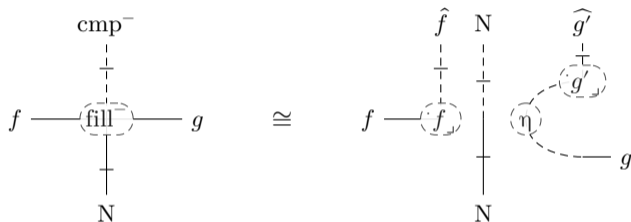
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If  $g$  is conjoinable then we can fill the square.

# Path Structures on Universes

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Some examples:

- ▶ if only identity arrows are companionable then the universe is path-discrete,
- ▶ if all arrows are companionable, then we have a directed path structure mirroring the function structure,
- ▶ if arrows of an adjoint equivalence are companionable, then all paths are bi-directional (because all companionable arrows then have companionable left adjoints).

## References



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## Bonus Slides

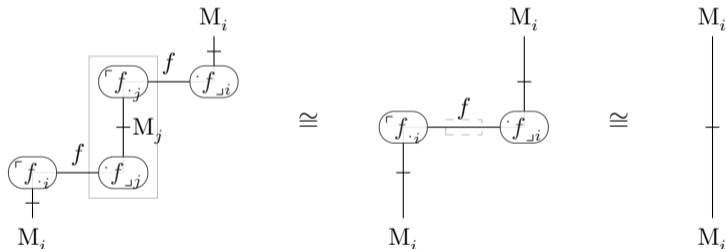
# Companion Uniqueness

## Theorem

If proarrows  $M_0, M_1 : A \rightarrow B$  are each companion to arrow  $f : A \rightarrow B$  then  $M_0 \cong M_1$ .

## Proof.

For  $\{i, j\} = \{0, 1\}$ , we have:



So the proarrow globes  $\ulcorner f_{\cdot 1} \odot \cdot f_{\cdot 0} : M_0 \rightarrow M_1$  and  $\ulcorner f_{\cdot 0} \odot \cdot f_{\cdot 1} : M_1 \rightarrow M_0$  form an isomorphism.  $\square$



# Companion Compositionality

It is easily checked that the companion laws are satisfied with

$$\begin{array}{c} \widehat{f \cdot g} \\ | \\ f \cdot g \text{ --- } \boxed{f \cdot g} \end{array} = \begin{array}{c} \widehat{f} \quad \widehat{g} \\ | \quad | \\ f \text{ --- } \boxed{f} \\ g \text{ --- } \boxed{g} \end{array}, \quad \begin{array}{c} \boxed{f \cdot g} \text{ --- } f \cdot g \\ | \\ \widehat{f \cdot g} \end{array} = \begin{array}{c} \boxed{f} \text{ --- } f \\ | \quad | \\ \widehat{f} \quad \widehat{g} \\ | \quad | \\ \boxed{g} \text{ --- } g \end{array}$$

and

$$\begin{array}{c} \widehat{\text{id}(A)} \\ | \\ \text{id}(A) \text{ --- } \boxed{\text{id}(A)} \end{array} = \boxed{\text{id}^2(A)} = \begin{array}{c} \boxed{\text{id}(A)} \text{ --- } \text{id}(A) \\ | \\ \widehat{\text{id}(A)} \end{array}$$

# Companion Globe Compositionality

